

APPLICANT FACSIMILE OF FORM PTO-1449

REV. 7-99

U.S. DEPARTMENT OF  
COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

SERIAL NO.

BBI-043CPACN

09/540,018

APPLICANT

Jochen G. Salfeld et al.

FILING DATE

March 31, 2000

GROUP

1644

LIST OF PUBLICATIONS CITED BY APPLICANT  
(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE    | NAME            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|-----------------|---------|-----------------|-------|----------|-------------------------------|
| JS                  | A1 | 5,231,024       | 7/1993  | Moeller et al.  | 435   | 240.27   |                               |
|                     | A2 | 5,654,407       | 8/1997  | Boyle et al.    | 530   | 388.15   |                               |
|                     | A3 | 5,795,967       | 8/1998  | Aggarwal et al. | 530   | 388.23   |                               |
|                     | A4 | 5,859,205       | 1/1999  | Adair et al.    | 530   | 387.3    |                               |
|                     | A5 | 5,877,293       | 3/1999  | Adair et al.    | 530   | 387.3    |                               |
|                     | A6 | 5,929,212       | 7/1999  | Jolliffe et al. | 530   | 387.3    |                               |
|                     | A7 | 5,994,510       | 11/1999 | Adair et al.    | 530   | 387.3    |                               |

## FOREIGN PATENT DOCUMENTS

|    |     | DOCUMENT NUMBER | DATE  | COUNTRY        | CLASS | SUBCLASS | TRANSLATION |    |
|----|-----|-----------------|-------|----------------|-------|----------|-------------|----|
|    |     |                 |       |                |       |          | YES         | NO |
| JS | A8  | EP 351,789      | 01/90 | EPO            | /     | /        |             |    |
|    | A9  | EP 366,043      | 05/90 | EPO            | /     | /        |             |    |
|    | A10 | WO 91/01078     | 02/91 | WIPO           | /     | /        |             |    |
|    | A11 | EP 492,448      | 07/92 | EPO            | /     | /        |             |    |
|    | A12 | WO 92/11383     | 07/92 | WIPO           | /     | /        |             |    |
|    | A13 | EP 186,833      | 08/92 | EPO            | /     | /        |             |    |
|    | A14 | WO 92/16553     | 10/92 | WIPO           | /     | /        |             |    |
|    | A15 | WO 93/06213     | 04/93 | WIPO           | /     | /        |             |    |
|    | A16 | EP 614,984      | 09/94 | EPO            | /     | /        |             |    |
|    | A17 | EP 212,489      | 11/94 | EPO            | /     | /        |             |    |
|    | A18 | EP 101,681      | 12/94 | EPO            | /     | /        |             |    |
|    | A19 | 2,279,077       | 12/94 | United Kingdom | /     | /        |             |    |
|    | A20 | WO 94/29347     | 12/94 | WIPO           | /     | /        |             |    |
|    | A21 | EP 659,766      | 06/95 | EPO            | /     | /        |             |    |
|    | A22 | WO 95/23813     | 09/95 | WIPO           | /     | /        |             |    |

## OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

|    |     |  |
|----|-----|--|
| JS | A23 | Barbuto, J.A.M. et al. "Production of Neutralizing Antibodies to Tumor Necrosis Factor by Human Tumor-Infiltrating B Lymphocytes" <i>Proc. Am. Assoc. Cancer Res.</i> 34:487, Abstract No. 2904 (March 1993)                                 |
|    | A24 | Bendtzen, K. et al. "Auto-antibodies to IL-1 $\alpha$ and TNF $\alpha$ in Normal Individuals and in Infectious and Inflammatory Disorders" in <i>The Physiological and Pathological Effects of Cytokines</i> (Wiely-Liss, Inc., 1990) 447-52 |
|    | A25 | Boyle, P. et al. "A Novel Monoclonal Human IgM Autoantibody which Binds Recombinant Human and Mouse Tumor Necrosis Factor- $\alpha$ " <i>Cell. Immunol.</i> 152:556-68 (1993)  |

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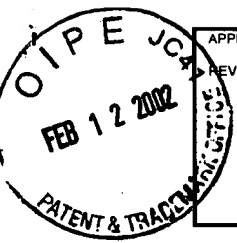
David A. Saunders

Date Considered

8/23/02

\*EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|             |     |  |
|-------------|-----|--|
| <i>Salf</i> | B1  | Boyle, P. et al. "The B5 Monoclonal Human Autoantibody Binds to Cell Surface TNF $\alpha$ on Human Lymphoid Cells and Cell Lines and Appears to Recognize a Novel Epitope" <i>Cell. Immunol.</i> 152:569-81 (1993)     |
|             | B2  | Chow, A.W. et al. "Effect of monoclonal antibody on human tumor necrosis factor (TNF MAb) on TNF $\alpha$ , IL-1 $\beta$ , and IL-6 levels in patients with sepsis syndrome" <i>Clinical Research</i> 42:2 299A (1994) |
|             | B3  | Cox, J.P.L. et al. "A directory of human germ-line V $\kappa$ segments reveals a strong bias in their usage" <i>Eur. J. Immunol.</i> 24(2):827-36 (1994)   |
|             | B4  | Elliot, M.J. et al. "Treatment of rheumatoid arthritis with chimeric monoclonal antibodies to tumor necrosis factor $\alpha$ " <i>Arthritis &amp; Rheumatism</i> 36(12):1681-90 (1993)                                 |
|             | B5  | Fomsgaard, A. et al. "Auto-antibodies to Tumor Necrosis Factor $\alpha$ in Healthy Humans and Patients with Inflammatory Diseases and Gram-Negative Bacterial Infections" <i>Scand. J. Immunol.</i> 30:219-23 (1989)   |
|             | B6  | Griffiths, A.D. et al. "Human anti-self antibodies with high specificity from phage display libraries" <i>The EMBO J.</i> 12(2):725-34 (1993)  |
|             | B7  | Huse, W.D. et al. "Generation of a large combinatorial library of the immunoglobulin repertoire in phage lambda" <i>Science</i> 246:1275-81 (1989)   |
|             | B8  | Lerner, R.A. et al. "Antibodies without immunization" <i>Science</i> 258:1313-14 (1992)  |
|             | B9  | Leusch, H-G. et al. Failure to demonstrate TNF $\alpha$ -specific autoantibodies in human sera by ELISA and Western blot" <i>J. Immunol. Methods</i> 139:145-47 (1991)   |
|             | B10 | Lewis et al. <i>J. Cell. Biochem.</i> 18D:215 (1994)   |
|             | B11 | Marks, J.D. et al. "By-passing immunization: Human antibodies from V-gene libraries displayed on phage" <i>J. Mol. Biol.</i> 222:581-97 (1991)   |
|             | B12 | Möller et al. "Monoclonal antibodies to human tumor necrosis factor $\alpha$ : in vitro and vivo application" <i>Cytokine</i> 2(3):162-69 (1990)   |
|             | B13 | Tomlinson, I.M. et al. "The repertoire of human germline V $H$ sequences reveals about fifty groups of V $H$ segments with different hypervariable loops" <i>J. Mol. Biol.</i> 227:776-98 (1992)                       |
|             | B14 | Tomlinson, I.M. et al. "The structural repertoire of the human V $\kappa$ domain" <i>The EMBO J.</i> 14(18):4628-38 (1995)   |
|             | B15 | Tracey, K.J. et al. "Tumor necrosis factor: A pleiotropic cytokine and therapeutic target" <i>Annu. Rev. Med.</i> 45:491-503 (1994)  |
| <i>↓</i>    | B16 | Winter, G. et al. "Humanized antibodies" <i>Immunol. Today</i> 14(6):243-46 (1993)   |
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*David A. Salmers*

Date Considered

*8/23/02*

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